- 1 AN ACT in relation to health care.
- 2 Be it enacted by the People of the State of Illinois,
- 3 represented in the General Assembly:
- 4 Section 5. The Mental Health and Developmental
- 5 Disabilities Administrative Act is amended by changing
- 6 Sections 4, 7, and 15 as follows:
- 7 (20 ILCS 1705/4) (from Ch. 91 1/2, par. 100-4)
- 8 Sec. 4. Supervision of facilities and services;
- 9 quarterly reports.
- 10 (a) To exercise executive and administrative supervision
- 11 over all facilities, divisions, programs and services now
- 12 existing or hereafter acquired or created under the
- jurisdiction of the Department, including, but not limited
- 14 to, the following:
- The Alton Mental Health Center, at Alton
- 16 The Clyde L. Choate Mental Health and Developmental
- 17 Center, at Anna
- 18 The Chester Mental Health Center, at Chester
- The Chicago-Read Mental Health Center, at Chicago
- The Elgin Mental Health Center, at Elgin
- 21 The Metropolitan Children and Adolescents Center, at
- 22 Chicago
- The Jacksonville Developmental Center, at
- 24 Jacksonville
- The Governor Samuel H. Shapiro Developmental Center,
- 26 at Kankakee
- The Tinley Park Mental Health Center, at Tinley Park
- The Warren G. Murray Developmental Center, at
- 29 Centralia
- 30 The Jack Mabley Developmental Center, at Dixon
- 31 The Lincoln Developmental Center, at Lincoln

- The H. Douglas Singer Mental Health and
 Developmental Center, at Rockford
- 3 The John J. Madden Mental Health Center, at Chicago
- 4 The George A. Zeller Mental Health Center, at Peoria
- 5 The Andrew McFarland Mental Health Center, at
- 6 Springfield
- 7 The Adolf Meyer Mental Health Center, at Decatur
- 8 The William W. Fox Developmental Center, at Dwight
- 9 The Elisabeth Ludeman Developmental Center, at Park
- 10 Forest
- 11 The William A. Howe Developmental Center, at Tinley
- 12 Park
- The Ann M. Kiley Developmental Center, at Waukegan.
- 14 (b) Beginning not later than July 1, 1977, the
- 15 Department shall cause each of the facilities under its
- 16 jurisdiction which provide in-patient care to comply with
- 17 standards, rules and regulations of the Department of Public
- 18 Health prescribed under Section 6.05 of the Hospital
- 19 Licensing Act.
- 20 (c) The Department shall issue quarterly reports on
- 21 admissions, deflections, discharges, bed closures,
- 22 staff-resident ratios, census, and average length of stay,
- 23 and any adverse federal certification or accreditation
- 24 findings, if any, for each State-operated facility for the
- 25 mentally ill and developmentally disabled.
- 26 (Source: P.A. 91-357, eff. 7-29-99; 91-652, eff. 12-1-99.)
- 27 (20 ILCS 1705/7) (from Ch. 91 1/2, par. 100-7)
- Sec. 7. To receive and provide the highest possible
- 29 quality of humane and rehabilitative care and treatment to
- 30 all persons admitted or committed or transferred in
- 31 accordance with law to the facilities, divisions, programs,
- 32 and services under the jurisdiction of the Department. No
- 33 resident of another state shall be received or retained to

6

9

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

1 the exclusion of any resident of this State. No resident of

2 another state shall be received or retained to the exclusion

of any resident of this State. All recipients of 17 years of

4 age and under in residence in a Department facility other

than a facility for the care of the mentally retarded shall

be housed in quarters separated from older recipients except

7 for: (a) recipients who are placed in medical-surgical units

8 because of physical illness; and (b) recipients between 13

and 18 years of age who need temporary security measures.

All recipients in a Department facility shall be given a dental examination by a licensed dentist or registered dental hygienist at least once every 18 months and shall be assigned to a dentist for such dental care and treatment as is

to a dentist for such dental care and treatment as is

14 necessary.

All medications administered to recipients shall be administered only by those persons who are legally qualified to do so by the laws of the State of Illinois. Medication shall not be prescribed until a physical and mental examination of the recipient has been completed. If, in the clinical judgment of a physician, it is necessary to administer medication to a recipient before the completion of the physical and mental examination, he may prescribe such medication but he must file a report with the facility director setting forth the reasons for prescribing such medication within 24 hours of the prescription. A copy of the report shall be part of the recipient's record.

No later than January 1, 2002, the Department shall adopt a model protocol and forms for recording all patient diagnosis, care, and treatment at every facility under the jurisdiction of the Department. The model protocol and forms shall be used by each facility unless the Department determines that equivalent alternatives justify an exemption.

Every facility under the jurisdiction of the Department shall maintain a copy of each report of suspected abuse or

- 1 neglect of the patient. Copies of those reports shall be made
- 2 available to the State Auditor General in connection with his
- 3 biennial program audit of the facility as required by Section
- 4 3-2 of the Illinois State Auditing Act.
- 5 <u>No later than January 1, 2002, every facility under the</u>
- 6 jurisdiction of the Department and all services provided in
- 7 those facilities shall comply with all of the applicable
- 8 standards adopted by the Social Security Administration under
- 9 <u>Subchapter XVIII (Medicare) of the Social Security Act (42</u>
- 10 <u>U.S.C.</u> 1395 1395ccc), if the facility and services may be
- 11 <u>eligible for federal financial participation under that</u>
- 12 <u>federal law.</u>
- 13 (Source: P.A. 86-922; 86-1013; 86-1475.)
- 14 (20 ILCS 1705/15) (from Ch. 91 1/2, par. 100-15)
- 15 Sec. 15. Before any person is released from a facility
- operated by the State pursuant to an absolute discharge or a
- 17 conditional discharge from hospitalization under this Act,
- 18 the facility director of the facility in which such person is
- 19 hospitalized shall determine that such person is not
- 20 currently in need of hospitalization and:
- 21 (a) is able to live independently in the community;
- 22 or
- 23 (b) requires further oversight and supervisory care
- for which arrangements have been made with responsible
- 25 relatives or supervised residential program approved by
- the Department; or
- 27 (c) requires further personal care or general
- oversight as defined by the Nursing Home Care Act, for
- 29 which placement arrangements have been made with a
- 30 suitable family home or other licensed facility approved
- 31 by the Department under this Section; or
- 32 <u>(d) requires community mental health services for</u>
- 33 <u>which arrangements have been made with a suitable</u>

community mental health provider in accordance with

criteria, standards, and procedures promulgated by rule.

The suitable community mental health provider shall be

4 <u>selected from among the Department's contractual</u>

5 <u>designees</u>.

Such determination shall be made in writing and shall 6 7 become a part of the facility record of such absolutely or 8 conditionally discharged person. When the determination 9 indicates that the condition of the person to be granted an absolute discharge or a conditional discharge is described 10 11 under subparagraph (c) or (d) of this Section, the name and address of the continuing care facility or home to which such 12 person is to be released shall be entered in the facility 13 record. Where a discharge from a mental health facility is 14 15 made under subparagraph (c), the Department shall assign the 16 person so discharged to an existing community based not-for-profit agency for participation in day activities 17 suitable to the person's needs, such as but not limited to 18 19 social and vocational rehabilitation, and other recreational, educational and financial activities unless the community 20 21 based not-for-profit agency is unable unqualified to accept 22 such assignment. Where the clientele of any not-for-profit 23 agency increases as a result of assignments under this amendatory Act of 2001 1977-by-more-than-3%-over-the-prior 24 25 year, the Department shall fully reimburse such agency for the <u>increased</u> costs of providing services to such persons in 26 27 excess-of-such-3%-increase. The Department shall keep written records detailing how many persons have been assigned to a 28 community based not-for-profit agency and how many persons 29 30 were not so assigned because the community based agency was 31 unable to accept the assignments, in accordance with criteria, standards, and procedures promulgated by rule. 32 Whenever a community based agency is found to be unable to 33 34 accept the assignments, the name of the agency and the reason

2.1

22

23

24

25

26

27

28

29

30

31

32

33

34

1 for the finding shall be included in the report.

Insofar as desirable in the interests of the former 2 recipient, the facility, program or home in which the 3 4 discharged person is to be placed shall be located in or near 5 in which the person resided prior to community 6 hospitalization or in the community in which the person's 7 family or nearest next of kin presently reside. Placement of 8 the discharged person in facilities, programs or homes 9 located outside of this State shall not be made by Department unless there are no appropriate facilities, 10 11 programs or homes available within this State. Out-of-state placements shall be subject to return of recipients so placed 12 upon the availability of facilities, programs or homes within 13 this State to accommodate these recipients, except where 14 placement in a contiguous state results in locating a 15 16 recipient in a facility or program closer to the recipient's If an appropriate facility or program 17 home or family. 18 becomes available equal to or closer to the recipient's home 19 or family, the recipient shall be returned to and placed at the appropriate facility or program within this State. 20

To place any person who is under a program of the Department at board in a suitable family home or in such other facility or program as the Department may consider The Department may place in licensed nursing desirable. homes, sheltered care homes, or homes for the aged those persons whose behavioral manifestations and medical and are such as to be substantially nursing care needs indistinguishable from persons already living in Prior to any placement by the Department under facilities. this Section, a determination shall be made by the personnel of the Department, as to the capability and suitability of such facility to adequately meet the needs of the person to When specialized programs are necessary in be discharged. order to enable persons in need of supervised living to

1 develop and improve in the community, the Department shall 2 place such persons only in specialized residential care facilities which shall meet Department standards including 3 4 restricted admission policy, special staffing and programming 5 for social and vocational rehabilitation, in addition to the requirements of the appropriate State licensing agency. 6 The 7 Department shall not place any new person in a facility 8 license of which has been revoked or not renewed on grounds 9 of inadequate programming, staffing, or medical or adjunctive services, regardless of the pendency of an action for 10 11 administrative review regarding such revocation or failure to 12 renew. Before the Department may transfer any person to a licensed nursing home, sheltered care home or home for the 13 aged or place any person in a specialized residential care 14 15 facility the Department shall notify the person to be 16 transferred, or a responsible relative of such person, in writing, at least 30 days before the proposed transfer, with 17 respect to all the relevant facts concerning such transfer, 18 19 except in cases of emergency when such notice is not 20 required. If either the person to be transferred or a 21 responsible relative of such person objects to such transfer, 22 in writing to the Department, at any time after receipt of 23 notice and before the transfer, the facility director of 24 facility in which the person was a recipient shall 25 immediately schedule a hearing at the facility with the presence of the facility director, the person who objected to 26 such proposed transfer, and a psychiatrist who is familiar 27 with the record of the person to be transferred. Such person 28 29 be transferred or a responsible relative may 30 represented by such counsel or interested party as he appoint, who may present such testimony with respect to the 31 32 proposed transfer. Testimony presented at such hearing shall of 33 of the facility record become а part the person-to-be-transferred. The record of testimony shall 34

held in the person-to-be-transferred's record in the central files of the facility. If such hearing is held a transfer may only be implemented, if at all, in accordance with the results of such hearing. Within 15 days after such hearing the facility director shall deliver his findings based on the record of the case and the testimony presented at the hearing, by registered or certified mail, to the parties to such hearing. The findings of the facility director shall deemed a final administrative decision of the Department. For purposes of this Section, "case of emergency" means those instances in which the health of the person to be transferred is imperiled and the most appropriate mental health care or medical care is available at a licensed nursing home, sheltered care home or home for the aged or a specialized residential care facility.

Prior to placement of any person in a facility under this Section the Department shall ensure that an appropriate training plan for staff is provided by the facility. Said training may include instruction and demonstration by Department personnel qualified in the area of mental illness or mental retardation, as applicable to the person to be placed. Training may be given both at the facility from which the recipient is transferred and at the facility receiving the recipient, and may be available on a continuing basis subsequent to placement. In a facility providing services to former Department recipients, training shall be available as necessary for facility staff. Such training will be on a continuing basis as the needs of the facility and recipients change and further training is required.

The Department shall not place any person in a facility which does not have appropriately trained staff in sufficient numbers to accommodate the recipient population already at the facility. As a condition of further or future placements of persons, the Department shall require the employment of

1 additional trained staff members at the facility where said

2 persons are to be placed. The Secretary, or his or her

3 designate, shall establish <u>written</u> guidelines for placement

4 of persons in facilities under this Act. The Department shall

keep written records detailing which facilities have been

6 <u>determined to have appropriately trained staff, which</u>

7 <u>facilities have been determined not to have such staff, and</u>

8 <u>all training which it has provided or required under this</u>

9 <u>Section</u>.

5

10

11

12

13

14

15

16

17

18

19

20

2.1

22

23

24

25

26

27

28

29

30

31

32

33

34

Bills for the support for a person boarded out shall be payable monthly out of the proper maintenance funds and shall be audited as any other accounts of the Department. If a person is placed in a facility or program outside the Department, the Department may pay the actual costs of residence, treatment or maintenance in such facility and may collect such actual costs or a portion thereof from the recipient or the estate of a person placed in accordance with this Section.

Other than those placed in a family home the Department shall cause all persons who are placed in a facility, as defined by the Nursing Home Care Act, or in designated community living situations or programs, to be visited at least once during the first month following placement, and once every month thereafter for the first year following placement when indicated, but at least quarterly. After the first year, visits shall be made at least once per year for as long as the placement continues. If a long term care facility has periodic care plan conferences, the visitor may participate in those conferences. Visits shall be made by qualified and trained Department personnel, designee, in the area of mental health or developmental disabilities applicable to the person visited, and shall be made on a more frequent basis when indicated. The Department may not use as designee any personnel connected with or

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

1 responsible to the representatives of any facility in which 2 persons who have been transferred under this Section are In the course of such visit there shall be 3 placed. 4 consideration of the following areas, but not limited 5 effects of transfer on physical and mental health thereto: б of the person, sufficiency of nursing care and medical 7 required by the person, sufficiency of staff 8 personnel and ability to provide basic care for the person, 9 social, recreational and programmatic activities available

9 social, recreational and programmatic activities available 10 for the person, and other appropriate aspects of the person's 11 environment.

A report containing the above observations shall be made to the Department and to any other appropriate agency subsequent to each visitation. The report shall contain a detailed assessment of whether the recipient is receiving necessary services in the least restrictive environment. If the recipient is not receiving those services, the Department shall either require that the facility modify the treatment plan to ensure that those services are provided or make arrangements necessary to provide those services elsewhere. At---the---conclusion--of--one--year--following--absolute--or conditional-discharge,-or-a-longer-period-of-time-if-required by--the--Department,--the--Department---may---terminate---the visitation-requirements-of-this-Section-as-to-a-person-placed in---accordance--with--this--Section,--by--filing--a--written statement---of---termination---setting---forth---reasons---to substantiate-the-termination-of-visitations-in--the--person's file,--and--sending--a-copy-thereof-to-the-person,-and-to-his guardian-or-next-of-kin-

30 Upon the complaint of any person placed in accordance 31 with this Section or any responsible citizen or upon 32 discovery that such person has been abused, neglected, or 33 improperly cared for, or that the placement does not provide 34 the type of care required by the recipient's current 1 condition, the Department immediately shall investigate, and

determine if the well-being, health, care, or safety of any

3 person is affected by any of the above occurrences, and if

4 any one of the above occurrences is verified, the Department

5 shall remove such person at once to a facility of the

6 Department or to another facility outside the Department,

7 provided such person's needs can be met at said facility.

8 The Department may also provide any person placed in

9 accordance with this Section who is without available funds,

10 and who is permitted to engage in employment outside the

11 facility, such sums for the transportation, and other

expenses as may be needed by him until he receives his wages

13 for such employment.

12

14

15

16

17

18

19

20

2.1

22

23

24

25

The Department shall promulgate rules and regulations governing the purchase of care for persons who are wards of or who are receiving services from the Department. Such rules and regulations shall apply to all monies expended by any agency of the State of Illinois for services rendered by any person, corporate entity, agency, governmental agency or political subdivision whether public or private outside of the Department whether payment is made through a contractual, per-diem or other arrangement. No funds shall be paid to any person, corporation, agency, governmental entity or political subdivision without compliance with such rules and regulations.

26 The rules and regulations governing purchase of care 27 shall describe categories and types of service deemed 28 appropriate for purchase by the Department.

Any provider of services under this Act may elect to receive payment for those services, and the Department is authorized to arrange for that payment, by means of direct deposit transmittals to the service provider's account maintained at a bank, savings and loan association, or other financial institution. The financial institution shall be

- 1 approved by the Department, and the deposits shall be in
- accordance with rules and regulations adopted by the 2
- 3 Department.
- 4 (Source: P.A. 89-507, eff. 7-1-97; 90-423, eff. 8-15-97.)
- 5 Section 10. The Hospital Licensing Act is amended by
- adding Section 6.19 as follows: б
- 7 (210 ILCS 5/6.19 new)
- Sec. 6.19. Use of restraints. Each hospital licensed 8
- 9 under this Act must have a written policy to address the use
- of restraints and seclusion in the hospital. The Department 10
- shall establish, by rule, the provisions that the policy must 11
- include, which, to the extent practicable, should be 12
- consistent with the requirements of the federal Medicare 13
- 14 program.
- For freestanding psychiatric hospitals and psychiatric 15
- units in general hospitals, restraints or seclusion shall 16
- 17 only be ordered by persons as authorized under the Mental
- <u>Health and Developmental Disabilities Code.</u> 18
- 19 For general hospitals, excluding freestanding psychiatric
- 20 hospitals and psychiatric units in general hospitals,
- restraints or seclusion may only be employed upon the written 21
- 22 order of:
- (1) a physician licensed to practice medicine in 2.3
- 24 all its branches;
- 25 (2) a physician assistant as authorized under the
- Physician Assistant Practice Act of 1987 or an advanced 26
- practice nurse as authorized under the Nursing and 27
- 28 Advanced Practice Nursing Act; or
- 29 (3) a registered nurse, provided that the medical
- 30 staff of the hospital has adopted a policy authorizing
- such practice and specifying the requirements that a 31
- registered nurse must satisfy to order the use of 32

14

24

28

29

1 restraints or seclusion.

- Section 15. The Abused and Neglected Long Term Care 2.
- 3 Facility Residents Reporting Act is amended by changing
- Sections 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, and 6.8 as follows: 4
- (210 ILCS 30/6.2) (from Ch. 111 1/2, par. 4166.2) 5
- (Section scheduled to be repealed on January 1, 2002) 6
- 7 Sec. 6.2. Inspector General.
- (a) The Governor shall appoint, and the Senate shall 8
- confirm, an Inspector General. The Inspector General shall 9
- be appointed for a term of 4 years and who shall function 10
- within the Department of Human Services and report to the
- Secretary of Human Services and the Governor. The Inspector 12
- 13 General shall function independently within the Department of

Human Services with respect to the operations of the office,

- including the performance of investigations and issuance of 15
- findings and recommendations. The Inspector General shall 16
- 17 independently submit to the Governor any request for
- appropriations necessary for the ordinary and contingent 18
- expenses of the Office of Inspector General, and 19
- appropriations for that office shall be separate from the 20
- 21 Department of Human Services. The Inspector General shall
- investigate reports of suspected abuse or neglect (as those 22
- 23 terms are defined in Section 3 of this Act) of patients or

residents in any mental health or developmental disabilities

patients or residents, in any mental health or developmental

- facility operated by the Department of Human Services and 25
- shall have authority to investigate and take immediate action 26
- 27 on reports of abuse or neglect of recipients, whether
- disabilities facility or program that is licensed or
- 30 certified by the Department of Human Services (as successor
- Department of Mental Health and Developmental 31 the
- Disabilities) or that is funded by the Department of Human 32

11

Services (as successor to the Department of Mental Health and Developmental Disabilities) and is not licensed or certified

3 by any agency of the State. At the specific, written request

4 of an agency of the State other than the Department of Human

Services (as successor to the Department of Mental Health and

6 Developmental Disabilities), the Inspector General may

7 cooperate in investigating reports of abuse and neglect of

8 persons with mental illness or persons with developmental

9 disabilities. The Inspector General shall have no

10 supervision over or involvement in routine, programmatic,

licensure, or certification operations of the Department of

12 Human Services or any of its funded agencies.

The Inspector General shall promulgate rules establishing 13 minimum requirements for reporting allegations of abuse and 14 15 and initiating, conducting, and 16 investigations. The promulgated rules shall clearly set forth that in instances where 2 or more State agencies could 17 investigate an allegation of abuse or neglect, the Inspector 18 19 General shall not conduct an investigation that is redundant to an investigation conducted by another State agency. 20 21 rules shall establish criteria for determining, based upon 22 the nature of the allegation, the appropriate method of investigation, which may include, but need not be limited to, 23 site visits, telephone contacts, or requests for written 24 25 responses from agencies. The rules shall also clarify how the Office of the Inspector General shall interact with the 26 27 licensing unit of the Department of Human Services in investigations of allegations of abuse or neglect. 28 29 allegations or investigations of reports made pursuant 30 this Act shall remain confidential until a final report is completed. The resident or patient who allegedly was abused 31 32 or neglected and his or her legal guardian shall be informed by the facility or agency of the report of alleged abuse or 33 neglect. Final reports regarding unsubstantiated or unfounded 34

- 1 allegations shall remain confidential, except that final
- 2 reports may be disclosed pursuant to Section 6 of this Act.
- The-Inspector-General-shall-be-appointed-for-a-term-of--4 3
- 4 years.
- The Inspector General shall within 24 hours after 5 (b)
- б receiving a report of suspected abuse or neglect determine
- 7 whether the evidence indicates that any possible criminal act
- has been committed. If he determines that a possible criminal 8
- 9 act has been committed, or that special expertise is required
- the investigation, he shall immediately notify the 10
- 11 Department of State Police. The Department of State Police
- shall investigate any report indicating a possible murder, 12
- rape, or other felony. All investigations conducted by the 13
- Inspector General shall be conducted in a manner designed to 14
- 15 ensure the preservation of evidence for possible use in a
- 16 criminal prosecution.

- (b-5) The Inspector General shall make a determination 17
- to accept or reject a preliminary report of the investigation 18
- 19 of alleged abuse or neglect based on established
- investigative procedures. The facility or agency may request 20
- 21 clarification or reconsideration based on additional
- 22 information. For cases where the allegation of abuse or
- the facility or agency to submit a written response. The 24

neglect is substantiated, the Inspector General shall require

- 25 written response from a facility or agency shall address in a
- concise and reasoned manner the actions that the agency or 26
- facility will take or has taken to protect the resident or 27
- patient from abuse or neglect, prevent reoccurrences, and 28
- 29 eliminate problems identified and shall include
- 30 implementation and completion dates for all such action.
- (c) The Inspector General shall, within 10 calendar days 31
- 32 after the transmittal date of a completed investigation where
- abuse or neglect is substantiated or administrative action is 33
- 34 recommended, provide a complete report on the case to the

1 Secretary of Human Services and to the agency in which the 2 abuse or neglect is alleged to have happened. The complete report shall include a written response from the agency or 3 4 facility operated by the State to the Inspector General that 5 addresses in a concise and reasoned manner the actions that б the agency or facility will take or has taken to protect the 7 patient from abuse or neglect, prevent resident or reoccurrences, and eliminate problems identified and shall 8 9 include implementation and completion dates for all such action. The Secretary of Human Services shall accept or 10 11 reject the response and establish how the Department will determine whether the facility or program followed the 12 13 approved response. The Secretary may require Department personnel to visit the facility or agency for training, 14 15 technical assistance, programmatic, licensure, certification purposes. Administrative action, including 16 sanctions, may be applied should the Secretary reject the 17 response or should the facility or agency fail to follow the 18 19 approved response. Within 30 days after the Secretary has approved a response, the facility or agency making the 20 response shall provide an implementation report to the 21 22 Inspector General on the status of the corrective action implemented. Within 60 days after receiving the 23 24 implementation report, the Inspector General shall conduct an 25 investigation, which may include, but need not be limited to, 26 site visits, telephone contacts, or requests for written documentation from the facility or agency, to determine 27 whether the facility or agency is in compliance with the 28 29 approved response. The facility or agency shall inform the 30 resident or patient and the legal guardian whether the reported allegation was substantiated, unsubstantiated, or 31 32 unfounded. There shall be an appeals process for any person agency that is subject to any action based on a 33 recommendation or recommendations. 34

- 1 (d) The Inspector General may recommend to the
- 2 Departments of Public Health and Human Services sanctions to
- imposed against mental health 3 and developmental
- 4 disabilities facilities under the jurisdiction of the
- 5 Department of Human Services for the protection of residents,
- б including appointment of on-site monitors or receivers,
- transfer or relocation of residents, and closure of units. 7
- 8 The Inspector General may seek the assistance of the Attorney
- 9 General or any of the several State's attorneys in imposing
- 10 such sanctions. Whenever the Inspector General issues any
- recommendations to the Secretary of Human Services, the 11
- 12 <u>Secretary shall provide a written response.</u>
- 13 (e) The Inspector General shall establish and conduct
- periodic training programs for Department of Human Services 14
- 15 employees concerning the prevention and reporting of neglect
- 16 and abuse.

26

- (f) The Inspector General shall at all times be granted 17
- access to any mental health or developmental disabilities 18
- 19 facility operated by the Department of Human Services, shall
- establish and conduct unannounced site visits to those 20
- 21 facilities at least once annually, and shall be granted
- 22 access, for the purpose of investigating a report of abuse or
- 23 neglect, to the records of the Department of Human Services
- and to any facility or program funded by the Department of 24
- <u>Human Services</u> that is subject under the provisions of this

Section to investigation by the Inspector General for a

- report of abuse or neglect. 27
- (g) Nothing in this Section shall limit investigations 28
- by the Department of Human Services that may otherwise be 29
- 30 required by law or that may be necessary in that Department's
- capacity as the central administrative authority responsible 31
- 32 for the operation of State mental health and developmental
- disability facilities. 33
- 34 (h)--This-Section-is-repealed-on-January-1,-2002.

```
(Source: P.A. 90-252, eff. 7-29-97; 90-512, eff. 8-22-97;
1
```

- 90-655, eff. 7-30-98; 91-169, eff. 7-16-99.) 2
- 3 (210 ILCS 30/6.3) (from Ch. 111 1/2, par. 4166.3)
- (Section scheduled to be repealed on January 1, 2002) 4
- Sec. 6.3. Quality Care Board. There is created, within 5
- the Department--of--Human--Services Office of the Inspector 6
- General, a Quality Care Board to be composed of 7 members 7
- appointed by the Governor with the advice and consent of the 8
- Senate. One of the members shall be designated as chairman 9
- 10 by the Governor. Of the initial appointments made by the
- Governor, 4 Board members shall each be appointed for a term 11
- of 4 years and 3 members shall each be appointed for a term 12
- of 2 years. Upon the expiration of each member's term, a 13
- 14 successor shall be appointed for a term of 4 years. In the
- 15 case of a vacancy in the office of any member, the Governor
- shall appoint a successor for the remainder of the unexpired 16
- 17 term.

23

- 18 Members appointed by the Governor shall be qualified by
- professional knowledge or experience in the area of law, 19
- 20 investigatory techniques, or in the area of care of the
- 21 mentally ill or developmentally disabled. Two members
- or a parent of a person with a disability. Members shall

appointed by the Governor shall be persons with a disability

- 24 serve without compensation, but shall be reimbursed for
- expenses incurred in connection with the performance of their 25
- 26 duties as members.
- The Board shall meet quarterly, and may hold other 27
- 28 meetings on the call of the chairman. Four members shall
- 29 constitute a quorum. The Board may adopt rules and
- regulations it deems necessary to govern its own procedures. 30
- This-Section-is-repealed-on-January-1,-2002. 31
- (Source: P.A. 91-169, eff. 7-16-99.) 32

- 1 (210 ILCS 30/6.4) (from Ch. 111 1/2, par. 4166.4)
- 2 (Section scheduled to be repealed on January 1, 2002)
- 3 Sec. 6.4. Scope and function of the Quality Care Board.
- 4 The Board shall monitor and oversee the operations, policies,
- 5 and procedures of the Inspector General to assure the prompt
- 6 and thorough investigation of allegations of neglect and
- 7 abuse. In fulfilling these responsibilities, the Board may
- 8 do the following:
- 9 (1) Provide independent, expert consultation to the
- 10 Inspector General on policies and protocols for
- investigations of alleged neglect and abuse.
- 12 (2) Review existing regulations relating to the
- operation of facilities under the control of the
- 14 Department of Human Services.
- 15 (3) Advise the Inspector General as to the content
- of training activities authorized under Section 6.2.
- 17 (4) Recommend policies concerning methods for
- improving the intergovernmental relationships between the
- 19 office of the Inspector General and other State or
- 20 federal agencies.
- 21 This-Section-is-repealed-on-January-1,-2002.
- 22 (Source: P.A. 91-169, eff. 7-16-99.)
- 23 (210 ILCS 30/6.5) (from Ch. 111 1/2, par. 4166.5)
- 24 (Section scheduled to be repealed on January 1, 2002)
- Sec. 6.5. Investigators. Within-- 6θ --days--after--the
- 26 effective-date-of-this-amendatory-Act-of-1992, The Inspector
- 27 General shall establish a comprehensive program to ensure
- 28 that every person employed or newly hired to conduct
- 29 investigations shall receive training on an on-going basis
- 30 concerning investigative techniques, communication skills,
- 31 and the appropriate means of contact with persons admitted or
- 32 committed to the mental health or developmental disabilities
- 33 facilities under the jurisdiction of the Department of Human

```
1 Services.
```

- 2 This-Section-is-repealed-on-January-1,-2002.
- 3 (Source: P.A. 91-169, eff. 7-16-99.)
- 4 (210 ILCS 30/6.6) (from Ch. 111 1/2, par. 4166.6)
- 5 (Section scheduled to be repealed on January 1, 2002)
- 6 Sec. 6.6. Subpoenas; testimony; penalty. The Inspector
- 7 General shall have the power to subpoena witnesses and compel
- 8 the production of books and papers pertinent to an
- 9 investigation authorized by this Act, provided that the power
- 10 to subpoena or to compel the production of books and papers
- 11 shall not extend to the person or documents of a labor
- 12 organization or its representatives insofar as the person or
- documents of a labor organization relate to the function of
- 14 representing an employee subject to investigation under this
- 15 Act. Mental health records of patients shall be confidential
- 16 as provided under the Mental Health and Developmental
- 17 Disabilities Confidentiality Act. Any person who fails to
- appear in response to a subpoena or to answer any question or
- 19 produce any books or papers pertinent to an investigation
- 20 under this Act, except as otherwise provided in this Section,
- 21 or who knowingly gives false testimony in relation to an
- 22 investigation under this Act is guilty of a Class A
- 23 misdemeanor.
- 24 This-Section-is-repealed-on-January-1,-2002.
- 25 (Source: P.A. 91-169, eff. 7-16-99.)
- 26 (210 ILCS 30/6.7) (from Ch. 111 1/2, par. 4166.7)
- 27 (Section scheduled to be repealed on January 1, 2002)
- Sec. 6.7. Annual report. The Inspector General shall
- 29 provide to the General Assembly and the Governor, no later
- 30 than January 1 of each year, a summary of reports and
- 31 investigations made under this Act for the prior fiscal year
- 32 with respect to residents of institutions under the

- jurisdiction of the Department of Human Services. The report
- 2 shall detail the imposition of sanctions and the final
- 3 disposition of those recommendations. The summaries shall
- 4 not contain any confidential or identifying information
- 5 concerning the subjects of the reports and investigations.
- 6 The report shall also include a trend analysis of the number
- 7 of reported allegations and their disposition, for each
- 8 facility and Department-wide, for the most recent 3-year time
- 9 period and a statement, for each facility, of the
- 10 staffing-to-patient ratios. The ratios shall include only
- 11 the number of direct care staff. The report shall also
- 12 include detailed recommended administrative actions and
- matters for consideration by the General Assembly.
- 14 This-Section-is-repealed-on-January-1,-2002.
- 15 (Source: P.A. 91-169, eff. 7-16-99.)
- 16 (210 ILCS 30/6.8) (from Ch. 111 1/2, par. 4166.8)
- 17 (Section scheduled to be repealed on January 1, 2002)
- 18 Sec. 6.8. Program audit. The Auditor General shall
- 19 conduct a biennial program audit of the office of the
- 20 Inspector General in relation to the Inspector General's

compliance with this Act. The audit shall specifically

- 22 include the Inspector General's effectiveness in
- 23 investigating reports of alleged neglect or abuse of
- 24 residents in any facility operated by the Department of Human
- 25 <u>Services</u> and in making recommendations for sanctions to the
- 26 Departments of Human Services and Public Health. The Auditor
- 27 General shall conduct the program audit according to the
- 28 provisions of the Illinois State Auditing Act and shall
- 29 report its findings to the General Assembly no later than
- January 1 of each odd-numbered year.
- 31 This-Section-is-repealed-on-January-1,-2002.
- 32 (Source: P.A. 91-169, eff. 7-16-99.)

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

1 Section 20. The Nursing Home Care Act is amended by 2 changing Sections 2-106 and 2-106.1 as follows:

3 (210 ILCS 45/2-106) (from Ch. 111 1/2, par. 4152-106)

Sec. 2-106. (a) For purposes of this Act, (i) a physical restraint is any manual method or physical or mechanical device, material, or equipment attached or adjacent to a resident's body that the resident cannot remove easily and restricts freedom of movement or normal access to one's body; (ii) a chemical restraint is any drug used for discipline or convenience and not required to treat medical symptoms. Department shall by rule, designate certain devices as restraints, including at least all those devices which have been determined to be restraints by the United States Department of Health and Human Services in interpretive guidelines issued for the purposes of administering Titles 18 and 19 of the Social Security Acts.

- (b) Neither restraints nor confinements shall be employed for the purpose of punishment or for the convenience of any facility personnel. No restraints or confinements shall be employed except as ordered by a physician who documents the need for such restraints or confinements in the resident's clinical record. Whenever a resident is restrained, a member of the facility staff shall remain with the resident at all times unless the resident has been confined. A resident who is restrained and confined shall be observed by a qualified person as often as is clinically appropriate but in no event less often than once every 15 minutes.
- 29 (c) A restraint may be used only with the informed consent of the resident, the resident's guardian, or other 30 31 authorized representative. A restraint may be used only for specific periods, if it is the least restrictive means 32 33 necessary to attain and maintain the resident's highest

If the resident so

- 1 practicable physical, mental or psychosocial well-being,
- 2 including brief periods of time to provide necessary
- life-saving treatment. A restraint may be used only after 3
- 4 consultation with appropriate health professionals, such as
- occupational or physical therapists, and a trial of less 5
- 6 restrictive measures has led to the determination that the
- 7 use of less restrictive measures would not attain or maintain
- 8 resident's highest practicable physical, mental
- 9 psychosocial well-being. However, if the resident needs
- emergency care, restraints may be used for brief periods 10
- 11 permit medical treatment to proceed unless the facility has
- notice that the resident has previously made a valid refusal 12
- 13 of the treatment in question.
- A restraint may be applied only by a person trained 14
- in the application of the particular type of restraint. 15
- 16 (e) Whenever a period of use of a restraint
- initiated, the resident shall be advised of his or her right 17
- to have a person or organization of his or her choosing, 18
- 19 including the Guardianship and Advocacy Commission, notified
- of the use of the restraint. A recipient who is under 20
- 21 guardianship may request that a person or organization of his
- or her choosing be notified of the restraint, whether or not 22
- chooses, the facility shall make the notification within 24

the guardian approves the notice.

- 25 hours, including any information about the period of time
- that the restraint is to be used. Whenever the Guardianship 26
- and Advocacy Commission is notified that a resident has been 27
- restrained, it shall contact the resident to determine the 28
- circumstances of the restraint and whether further action is 29
- 30 warranted.

23

24

- (f) Whenever a restraint is used on a resident whose 31
- primary mode of communication is sign language, the resident 32
- shall be permitted to have his or her hands free from 33
- 34 restraint for brief periods each hour, except when this

- 1 freedom may result in physical harm to the resident or
- others.
- 3 (g) The requirements of this Section are intended to
- 4 control in any conflict with the requirements of Sections
- 5 1-126 and 2-108 of the Mental Health and Developmental
- 6 Disabilities Code.
- 7 (Source: P.A. 88-413.)
- 8 (210 ILCS 45/2-106.1)
- 9 Sec. 2-106.1. Drug treatment.
- 10 (a) A resident shall not be given unnecessary drugs. An
- 11 unnecessary drug is any drug used in an excessive dose,
- 12 including in duplicative therapy; for excessive duration;
- 13 without adequate monitoring; without adequate indications for
- 14 its use; or in the presence of adverse consequences that
- 15 indicate the drugs should be reduced or discontinued. The
- 16 Department shall adopt, by rule, the standards for
- 17 unnecessary drugs contained in interpretive guidelines issued
- 18 by the United States Department of Health and Human Services
- 19 for the purposes of administering titles 18 and 19 of the
- 20 Social Security Act.
- 21 (b) Psychotropic medication shall not be prescribed
- 22 without the informed consent of the resident, the resident's
- 23 guardian, or other authorized representative. "Psychotropic
- 24 medication" means medication that is used for or listed as
- 25 used for antipsychotic, antidepressant, antimanic, or
- 26 antianxiety behavior modification or behavior management
- 27 purposes in the latest editions of the AMA Drug Evaluations
- or the Physician's Desk Reference.
- 29 (c) The requirements of this Section are intended to
- 30 control in a conflict with the requirements of Sections 2-102
- 1-102 and 2-107.2 of the Mental Health and Developmental
- 32 Disabilities Code with respect to the administration of
- 33 psychotropic medication.

- 1 (Source: P.A. 88-413.)
- 2 Section 25. The Nursing and Advanced Practice Nursing
- 3 Act is amended by changing Section 5-10 as follows:
- 4 (225 ILCS 65/5-10)
- 5 Sec. 5-10. Definitions. Each of the following terms,
- 6 when used in this Act, shall have the meaning ascribed to it
- 7 in this Section, except where the context clearly indicates
- 8 otherwise:
- 9 (a) "Department" means the Department of Professional
- 10 Regulation.
- 11 (b) "Director" means the Director of Professional
- 12 Regulation.
- 13 (c) "Board" means the Board of Nursing appointed by the
- 14 Director.
- 15 (d) "Academic year" means the customary annual schedule
- of courses at a college, university, or approved school,
- 17 customarily regarded as the school year as distinguished from
- 18 the calendar year.
- 19 (e) "Approved program of professional nursing education"
- 20 and "approved program of practical nursing education" are
- 21 programs of professional or practical nursing, respectively,
- 22 approved by the Department under the provisions of this Act.
- 23 (f) "Nursing Act Coordinator" means a registered
- 24 professional nurse appointed by the Director to carry out the
- 25 administrative policies of the Department.
- 26 (g) "Assistant Nursing Act Coordinator" means a
- 27 registered professional nurse appointed by the Director to
- 28 assist in carrying out the administrative policies of the
- 29 Department.
- 30 (h) "Registered" is the equivalent of "licensed".
- 31 (i) "Practical nurse" or "licensed practical nurse"
- 32 means a person who is licensed as a practical nurse under

26

emphasizing the

- 1 this Act and practices practical nursing as defined in
- 2 paragraph (j) of this Section. Only a practical nurse
- licensed under this Act is entitled to use the title 3
- 4 "licensed practical nurse" and the abbreviation "L.P.N.".
- (j) "Practical nursing" means the performance of nursing 5
- б acts requiring the basic nursing knowledge, judgement, and
- 7 skill acquired by means of completion of an
- 8 nursing education program. Practical nursing
- 9 includes assisting in the nursing process as delegated by and
- under the direction of a registered professional nurse. 10
- 11 practical nurse may work under the direction of a licensed
- physician, dentist, podiatrist, or other health care 12
- 13 professional determined by the Department.
- (k) "Registered Nurse" or "Registered Professional 14
- 15 Nurse" means a person who is licensed as a professional nurse
- 16 under this Act and practices nursing as defined in paragraph
- (1) of this Section. Only a registered nurse licensed under 17
- this Act is entitled to use the titles "registered nurse" and 18
- 19 "registered professional nurse" and the abbreviation, "R.N.".
- (1) "Registered professional nursing practice" includes 20
- 21 all nursing specialities and means the performance of any
- 22 nursing act based upon professional knowledge, judgment, and
- 23 skills acquired by means of completion of an approved
- 24 registered professional nursing education program.
- registered professional nurse provides nursing importance of

care

the

the whole and

- interdependence of its parts through the nursing process to 27
- individuals, groups, families, or communities, that includes 28
- but is not limited to: (1) the assessment of healthcare 29
- 30 needs, nursing diagnosis, planning, implementation, and
- 31 nursing evaluation; (2) the promotion, maintenance, and
- 32 restoration of health; (3) counseling, patient education,
- 33 health education, and patient advocacy; (4) the
- administration of medications and treatments as prescribed by 34

20

2.1

22

23

24

25

26

1 a physician licensed to practice medicine in all of 2 branches, a licensed dentist, a licensed podiatrist, or a licensed optometrist or as prescribed by a physician 3 4 assistant in accordance with written guidelines required 5 under the Physician Assistant Practice Act of 1987 or by an 6 advanced practice nurse in accordance with a written 7 collaborative agreement required under the Nursing 8 Advanced Practice Nursing Act; (5) the coordination and 9 management of the nursing plan of care; (6) the delegation to and supervision of individuals who assist the registered 10 11 professional nurse implementing the plan of care; and (7) teaching and supervision of nursing students; and (8) the 12 ordering of restraint or seclusion as authorized under the 13 Hospital Licensing Act. The foregoing shall not be deemed to 14 15 include those acts of medical diagnosis or prescription of 16 therapeutic or corrective measures that are properly performed only by physicians licensed in the State of 17 18 Illinois.

- (m) "Current nursing practice update course" means a planned nursing education curriculum approved by the Department consisting of activities that have educational objectives, instructional methods, content or subject matter, clinical practice, and evaluation methods, related to basic review and updating content and specifically planned for those nurses previously licensed in the United States or its territories and preparing for reentry into nursing practice.
- "Professional assistance program for nurses" means a 27 professional assistance program that meets 28 criteria 29 established by the Board of Nursing and approved by the 30 which provides a non-disciplinary treatment approach for nurses licensed under this Act whose ability to 31 32 practice is compromised by alcohol or chemical substance 33 addiction.
- 34 (Source: P.A. 90-61, eff. 12-30-97; 90-248, eff. 1-1-98;

- 1 90-655, eff. 7-30-98; 90-742, eff. 8-13-98.)
- 2 Section 99. Effective date. This Section, Sections 10
- and 25, the changes to Sections 6.2, 6.3, 6.4, 6.5, 6.6, 6.7,
- 4 and 6.8 of the Abused and Neglected Long Term Care Facility
- 5 Residents Reporting Act, and the changes to Section 3-203 of
- 6 the Nursing Home Care Act take effect upon becoming law.